

10/537 650

Rec'd PCT/PTO 18 APR 2006

# VERIFICATION OF TRANSLATION

RE: INTERNATIONAL APPLICATION NO. PCT/IB2003/005680

I, Paul J. Collins, c/o Frank C. Farnham Company, Inc., 210 W. Front St., Suite 5, Media, PA 19063-3101, am the translator of the specification of Patent Application No. PCT/IB2003/005680, and I state that said translation is a true translation to the best of my knowledge and belief.

Signature of Translator: Paul J. Collins

Dated: October 23, 2005

DOCKET NO.: SDS-0119

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Guillaume Blacher

Application No.: 10/537,650

Filing Date: Not Yet Known

For: FINANCIAL PRODUCT PRICING SYSTEM

Confirmation No.: 4622

Group Art Unit: not yet assigned

Customer No.: 23377

EXPRESS MAIL LABEL NO: EV 482612189 US  
DATE OF DEPOSIT: April 18, 2006

EV482612189US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS AND PETITION  
FOR EXTENSION OF TIME

In response to the "Notification of Missing Requirements " dated September 19, 2005, which sets forth a two-month period for reply ending on November 19, 2005, (said period being eligible for extensions under 37 CFR § 1.136(a)), enclosed herewith for filing is:

Declarations and Powers of Attorney

- ☒ An unexecuted Combined Declaration and Power of Attorney, executed by the inventor(s). This declaration is accompanied by a Petition in Support of Filing on Behalf of Non-signing inventor.
- ☐ A Combined Declaration and Power of Attorney executed by the inventor(s) and identifying fewer/more inventors than those identified in the unexecuted declaration filed with the above-identified application specification. Such deletion/addition of inventors is specifically provided for in 37 CFR § 1.48.
- ☐ A copy of the original Declaration and Power of Attorney, executed by the inventor(s), as filed in parent application no.
- ☐ A copy of the Power of Attorney with Revocation filed on

- ☐ A Combined Power of Attorney with Revocation and Statement Under 3.73(b).
- ☐ An Assignee Power of Attorney is enclosed.

**Drawings**

- ☐ sheets of replacement drawings comprising Figures in compliance with 37 CFR § 1.84.

**Sequence Listing** (Note - All items listed below are required if Sequence Listing is also being filed with Missing Parts)

- ☐ Paper copy of Sequence Listing
- ☐ Diskette containing Sequence Listing in computer readable form
- ☐ Amendment directing entry of Sequence Listing in specification
- ☐ Statement to Support Filing of Sequence Listing

**Claim for Small Entity Status**

- ☐ Applicant(s) has previously claimed small entity status under 37 CFR § 1.27.
- ☐ Applicant(s), by its/their undersigned attorney, claims small entity status under 37 CFR § 1.27 as:
  - ☐ an Independent Inventor
  - ☐ a Small Business Concern
  - ☐ a Nonprofit Organization

**Miscellaneous Items**

- ☒ A copy of the Notification of Missing Requirements.
- ☐ Request for Corrected Filing Receipt.
- ☐ Request for Refund Due to Small Entity Status.
- ☐ Request for Refund Due to Fee Calculation Error.
- ☐ A substitute specification in compliance with 37 CFR § 1.52.
- ☒ Other: Preliminary Amendment; Application Data Sheet, English Translation, Verification of Translation; 1 return postcard.

## Fee Calculation and Extension of Time

- ☒ Request is hereby made under 37 CFR § 1.136(a) to extend the time for response to the Notification of Missing Requirements due November 19, 2005 to and through April 19, 2006, comprising an extension of the shortened period of four months:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
MISSING PARTS SURCHARGE	\$65	\$	\$130	\$
<input type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$60	\$	\$120	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$225	\$	\$450	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$510	\$	\$1020	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$795	\$	\$1590	\$
<input checked="" type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$1080	\$	\$2160	\$2,160.00
TOTAL FEE DUE		\$		\$2,160.00

- ☒ A check in amount of \$2,550.00 (\$2,160 for extension of time fee, \$130 for late filing fee, \$130 for English translation processing fee and \$130 for petition fee) is attached to cover the surcharge and any requested extension of time. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.
- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to Deposit Account 23-3050. This sheet is provided in duplicate.
- ☒ The Commissioner is hereby authorized to charge any deficiency or credit any overpayment of the fees associated with this communication to Deposit Account No. 23-3050.


This sheet is attached in duplicate.

**DOCKET NO.: SDS-0119**

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**PATENT**

Date: April 18, 2006

  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/537,650	Guillaume Blachet	SDS-0119

INTERNATIONAL APPLICATION NO.	
PCT/IB03/05680	
I.A. FILING DATE	PRIORITY DATE
12/05/2003	12/06/2002

23377  
WOODCOCK WASHBURN LLP  
ONE LIBERTY PLACE, 46TH FLOOR  
1650 MARKET STREET  
PHILADELPHIA, PA 19103

**RECEIVED**

SEP 27 2005

Woodcock Washburn

CONFIRMATION NO. 4622

371 FORMALITIES LETTER



\*OC000000017041653\*

Date Mailed: 09/19/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/06/2005
- Copy of the International Search Report filed on 06/06/2005
- U.S. Basic National Fees filed on 06/06/2005
- Priority Documents filed on 06/06/2005

**RECEIVED**DOCKET DEPT.  
WWKMN

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English. Note a processing fee will be required if submitted later than 30 months from the priority date.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**RECEIVED**

SEP 30 2005

Mary Ann Devine  
Woodcock Washburn

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/537,650	PCT/IB03/05680	SDS-0119